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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/997,623	
	<b>Filing Dat</b>	November 29, 2001	
	<b>First Named Inventor</b>	Kim Vilbour Andersen	
	<b>Group Art Unit</b>	1646	
	<b>Examiner Name</b>	Unassigned	
<b>Total Number of Pages in This Submission</b>	18	<b>Attorney Docket Number</b>	0219us410

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (x 2) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Transmittal Letter-Response to Notice to File Missing Parts; Executed Declaration; Two Powers of Attorney; Two Statements Under 37 CFR 3.73(b) with copies of Assignment documents; Copy of Notice to File Missing Parts; Return Postcard
<b>Remarks</b> Please charge Deposit Account No. 50-0990 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Joanne R. Petithory, Reg. No. 42, 995
Signature	
Date	March 25, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited in the United States Postal Service as first class mail in an envelope address to: U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, Attn: Box Missing Parts on: March 25, 2002			
Typed or printed name	Diana M. Schaller		
Signature		Date	March 25, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 130.00

**Complete if Known**

Application Number	09/997,623
Filing Date	November 29, 2001
First Named Inventor	Kim Vilbourn Andersen
Examiner Name	Unassigned
Group Art Unit	1646
Attorney Docket No.	0219us410

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0990

Deposit Account Name Maxygen, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code Fee Code Fee Description

101 740 201 370 Utility filing fee

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$ ) 0.00

**2. EXTRA CLAIM FEES**

Total Claims		-20** =		X	Fee from below		=	Fee Paid
Independent Claims		-3** =		X			=	
Multiple Dependent							=	

Large Entity Small Entity

Fee Code Fee Code Fee Description

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim, if not paid

109 84 209 42 \*\* Reissue independent claims over original patent

110 18 210 9 \*\* Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

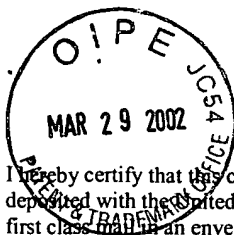
SUBTOTAL (3) (\$ ) 130.00

**SUBMITTED BY**

Name (Print/Type)	Joanne R. Petithory	Registration No. (Attorney/Agent)	42,995	Telephone	(650) 298-5300
Signature	<i>Joanne R. Petithory</i>	Date	March 25, 2002		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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P.O. Box 2327  
Arlington, VA 22202  
Attn: Box Missing Parts

On March 25, 2002

By *Diana M. Schaller*  
Diana M. Schaller

Attorney Docket No. 0219us410

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kim Vilbourn Andersen, et al.

Examiner: Unassigned

Application No.: 09/997,623

Art Unit: 1646

Filed: November 29, 2001

TRANSMITTAL LETTER - RESPONSE  
TO NOTICE OF MISSING PARTS

For: Protein C or Activated Protein C-Like  
Molecules

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202  
Attn: Box Missing Parts

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date  
Granted" dated February 5, 2002, enclosed are the following to be made of record in the above-  
identified application:

- 1) Executed Declaration;
- 2) Two Powers of Attorney and Two Statements Under 37 C.F.R. 3.73(b)  
(+ copies of Assignments - not for recordation-note: The Assignments  
for recordation are being sent concurrently under separate cover to  
Box Assignment, pursuant to the MPEP @ Page 300-M and Form  
PTO-1619A);
- 3) Copy of Notice of Missing Parts;
- 4) Fee Transmittal Sheet;

- 5) Transmittal Sheet;
- 6) Return postcard

Please note that the Sequence Listing, Statement Accompanying Sequence Listing and the computer diskette containing the sequence listing in computer readable format **are being sent concurrently under separate cover to U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202, pursuant to the Attachment to "Notice to Comply with Requirements... Sequence Disclosures" as attached to the Notice to File Missing Parts of Application as received in the above-identified application.**

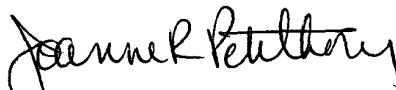
Please charge Deposit Account No. 50-0990 for the following fees:

Missing Parts Surcharge	\$ 130.00
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<b>TOTAL FEES TO BE CHARGED</b>	<b>\$ 130.00</b>
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The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0990.

Respectfully submitted,

  
Joanne R. Petithory  
Reg. No. 42,995

Maxygen, Inc.  
515 Galveston Drive  
Redwood City, California 94063  
Customer No. 30560  
Direct Line: (650) 298-5452  
Telephone: (650) 298-5300  
Facsimile: (650) 298-5446



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,623	11/29/2001	Kim Vilbour Andersen	0219US410

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Date Mailed: 02/05/2002

■ For Rules Interpretation, call (703) 308-4216

- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice **MUST** be returned with the reply.*

*M. Nand*

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Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY